

STATE OF INDIANA
OFFICE OF THE SECRETARY OF STATE
SECURITIES DIVISION

IN THE MATTER OF:

ORDER 95-0051 AO

APPROVED BROKER-DEALER AND
AGENT EXAMINATIONS

FILED

AUG 08 1995

ORDER

Comes now BRADLEY W. SKOLNIK, Indiana Securities Commissioner, pursuant to IC 23-2-1-11(b)(4) and 710 IAC 1-14-2, and 710 IAC 1-15-2, and hereby APPROVES AND ORDERS the following examinations for compliance with 710 IAC 1-14-2 and 710 IAC 1-15-2:

I. BROKER-DEALER PRINCIPALS AND BRANCH MANAGERS:

A. The applicable NASD Qualification Examinations required by Schedule C to the NASD By-Laws as published by the NASD.

II. AGENTS:

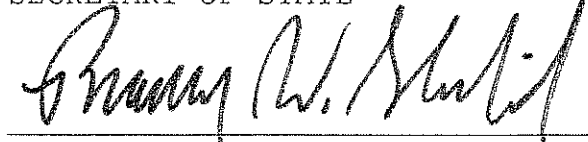
A. The applicable NASD Qualification Examinations required by Schedule C to the NASD By-Laws as published by the NASD.

B. NASD Series 63 (Uniform State Law Exam) or Series 66 exam.

IT IS FURTHER ORDERED that Order No. 95-0004 AO is hereby VACATED, effective August 8th, 1995.

ORDERED this 8th day of August, 1995.

SUE ANNE GILROY
SECRETARY OF STATE



BRADLEY W. SKOLNIK
INDIANA SECURITIES COMMISSIONER

